

TO/4/T/T
JC971

11-23-01

A

PATENT APPLICATION TRANSMITTAL LETTER

Docket Number (Optional)

PET-001.01

JC971 U.S. PTO
09/99/08/03
11/14/01

To the Commissioner for Patents:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of
Gompers, Paul A, et al.entitled Private Equity Investments

Enclosed are:

109 pages of written description, claims, abstract and appendix.
 6 sheets of drawings.
 an assignment of the invention to.
 unexecuted declaration of the inventors.
 a certified copy of a _____ application.
 associate power of attorney.
 a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
 information disclosure statement and cited references.
 preliminary amendment.
 A check for \$610.

 Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

CLAIMS AS FILED

	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE (37 CFR 1.16(a))			\$740	\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	42 - 20 =	22	x \$18	\$396.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	4 - 3 =	1	x \$84	\$84.00
MULTIPLE DEPENDENT CLAIM PRESENT	37 CFR 1.16(d)		\$280	\$0.00
			TOTAL	\$1,220.00
If applicant has small entity status under 37 CFR 1.9 and 1.27 then divide total fee by 2, and enter amount here.			SMALL ENTITY	\$610.00

 A check in the amount of \$610.00 to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge and credit Deposit Account No. **06-1448** as described below. I have enclosed a duplicate copy of this sheet.

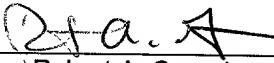
Charge the amount of \$ _____ as filing fee.
 Credit any overpayment.
 Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
 Charge the issue fee set in 37 CFR 1.18 at the mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)

Certificate of Express Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on this date of November 14, 2001



Michael Phelan
 Express Mail Label: EL 408064949US
 Date of Deposit: 11/14/01


 Robert A. Greenberg
 Reg. No. 44133

Customer No: 25181

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Gompers, Paul A.
Title	Private Equity Investments
Atty Docket Number	PET-001.01

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/14/01

Date



Signature

Robert A. Greenberg

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on this date of November 14, 2001.

Michael Phelan

Michael Phelan